

Case S-0959-US Appln. No.: 10/565,226

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CHRISTIAN KAPS ET AL.)
APPLN NO.: 10/565,226)
FILED: JANUARY 20, 2006)
USE OF CHEMOKINES, AND PHARMACEUTICAL PREPARATIONS)
CONTAINING THE SAME)
Commissioner for Patents	
P.O. Box 1450	

Sir:

This is in response to the Notification of Missing Requirements dated May 11, 2006. Enclosed for filing in the above application are the following:

- 1) 3-page copy of executed Declaration;
- 3-page copy of the Notification of Missing Requirements under 35 USC 371 in the Untied States Designated/Elected Office (DO/EO/US);
- 1-page Fee Transmittal for payment of the late submission of the Declaration tobe charged to a Deposit Account; and
- 4) 4-page Preliminary Amendment regarding the Sequence Listing including attached thereto a paper copy of the Sequence Listing and a computer readable form of the Sequence Listing on a 3.5" diskette.

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

July 6, 2006

Cleste N. Mentt.
Celeste N. Merritt

Case S-0959-US Appln. No.: 10/565,226

REMARKS

The accompanying documents and diskette are deemed to fulfill the requirements set forth in the Notification of Missing Requirements.

If, however, any matters remain requiring further consideration, it is respectfully requested that the undersigned be telephoned so that such matters can be discussed, and if possible, promptly resolved.

Respectfully submitted,

Mary H. Drabnis Reg. No. 45,909

Sieberth & Patty, L.L.C.

4703 Bluebonnet Boulevard

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS FO. Box 1450 Alexandra, Viginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/565,226 S-0959-US Christian Kaps INTERNATIONAL APPLICATION NO. PCT/EP04/07581 02071 I.A. FILING DATE PRIORITY DATE SIEBERTH & PATTY, LLC 07/09/2004 07/21/2003 4703 BLUEBONNET BLVD 1 6 2006 **BATON ROUGE, LA 70809 CONFIRMATION NO. 2239 371 FORMALITIES LETTER** SIEBERTH & PATTY, L.L.C. *OC00000018749809*

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Indication of Small Entity Status

Date Mailed: 05/11/2006

- Copy of the International Application filed on 01/20/2006
- English Translation of the IA filed on 01/20/2006
- Copy of the International Search Report filed on 01/20/2006
- Preliminary Amendments filed on 03/09/2006
- Oath or Declaration filed on 01/20/2006
- Small Entity Statement filed on 01/20/2006
- Request for Immediate Examination filed on 01/20/2006
- U.S. Basic National Fees filed on 01/20/2006
- Priority Documents filed on 01/20/2006
- Specification filed on 01/20/2006
- Claims filed on 01/20/2006
- Abstracts filed on 01/20/2006
- Drawings filed on 01/20/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

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To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath
or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27,
must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.
 - This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/565,226	PCT/EP04/07581	S-0959-US

FORM PCT/DO/EO/905 (371 Formalities Notice)

PTO/SB/17i (04-05)
Approved for use through 7/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control suppor

PROCESSING FEE **Under 37 CFR 1.17(i)** TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

quired to respond to a collection of in	ormation unless it displays a valid OMB control number
Application Number	10/565,226
Filling Date	1/20/2006
First Named Inventor	Kaps
Art Unit	
Examiner Name	
Attorney Docket Number	S-0959-US

Enclosed is a paper filed under 37 CFR 1.63 that requires a processing fee (37 CFR 1.17(i)). Payment of \$ 65.00 is enclosed. This form should be included with the above-mentioned page and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CRF 1.17(f), (g) or (h), see form PTO/SB/17p.		
Payment of Fees (small entity amounts are NOT available for the processing fees) The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 502768 Topocessing fee under 37 CFR 1.17(i) Topocessing fees and credit of any overpayments enclose a duplicative copy of this form for fee processing.		
Check in the amount of \$ is enclosed.		
Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.		
Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all, Except for § 1.221 papers (Fee Code 1803) For papers filed under: § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status. § 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications. § 1.48 - for correcting inventorship, except in provisional applications. § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English. § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b). § 1.55 - for entry of late priority papers.		
§ 1.71(g)(2) - to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods § 1.99(e) - for processing a belated submission under § 1.99. § 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).		
§ 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).		
 § 1.103(d) - for requesting deferred examination of an application. § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication. § 1.221 - for requesting voluntary publication or republication of an application. Fee Code 1803 		
§ 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest. § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.		
§ 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.		
Maryhardon July 6, 2006 Signature Date		
Mary H. Drabnis 45,909		
Typed or printed name 45,909 Registration No., if applicable		

This collection of information is required by 37 CFR 1.17. The information is require to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 1450.